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## UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. First Inventor module and bakplane in

APPLICATION ELEMENTS  See MPEP chapter 600 concerning utility patient application contents.  ADDRESS: TO:  Assistant Commissions for Patients 8x Patent Application Washington, DC 20231  7.	(Only for new nonprovision	iai applications tinder 37 CFR 1:33(b))	Express Wall Laber No.   C 33 11009033 0 3	
Fee Transmittal Form (e.g., PTOISB17)   Fee		•	= <b>ht</b>	
Number averageal and adeption for for processing of the processi			Washington, DC-20231	
Applicant claims small entity status.  Septification  Total Pages   B   1   1   1   1   1   1   1   1   1				
See 37 CFR 1.27.    See 37 CFR 1.27.   (if applicable, all necessary)				
3. Specification   Total Pages   78				
- Cross Reference to Related Applications - Statement Regularing Fed sponsored R & D - Reference to sequence ising, a table, or a computer program listing appentix - Background of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.				
Statement Regarding Fed sponsored R & D Reference to sequence listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4. Drawing(s) (35 U.S.C. 113) [ Total Sheets 7 ] - Abstract of the Disclosure  5. Oath or Declaration - Newly executed (original or copy) - Copy from a prior application (37 CFR 1.33 (d)) - (for continuation/divisional with Box 18 completed) - In Spirit Summary of Summary and Application Data Sheet. See 37 CFR 1.76 - Continuation Data Sheet. See 37 CFR 1.76 - Continuation Data Sheet under 37 CFR 1.76 - Continuation Data Sheet			b. Specification Sequence Listing on:	
or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)  - Abstract of the Disclosure  4.	- Statement Rega	arding Fed sponsored R & D	i. CD-ROM or CD-R (2 copies); or	
- Background of the Invention - Brief Summary of the Invention - Claim(s) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.			i i. 🔲 paper	
- Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4.			c. Statements verifying identity of above copies	
- Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  4. Drawing(s) (35 U.S.C. 113) [ Total Sheets 7 ] 5. Oath or Declaration - Newly executed (original or copy) - Drawing(s) (35 U.S.C. 113) [ Total Pages 2 ] - Newly executed (original or copy) - Drawing(s) (35 U.S.C. 113) [ Total Pages 2 ] - Newly executed (original or copy) - Drawing(s) (35 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (35 U.S.C. 113) [ Total Pages 2 ] - Newly executed (original or copy) - Drawing(s) (35 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (35 U.S.C. 113) [ Total Pages 2 ] - Newly executed (original or copy) - Drawing(s) (35 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S.C.C. 113) [ Total Pages 2 ] - Drawing(s) (50 U.S				
- Claim(s) - Abstract of the Disclosure - Attorney - Abstract of the Disclosure - Attorney -	- Brief Descriptio	n of the Drawings (if filed)		
- Abstract of the Disclosure  4. Drawing(s) (35 U.S.C. 113)  [ Total Sheets	'	ption		
5. Oath or Declaration  a. Newly executed (original or copy) b. (Copy from a prior application (37 CFR 1.83 (d)) b. ((for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1 13 (If oreign priority is claimed)  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-n-part (CIP)  Prior application information  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-n-part (CIP)  Prior application information  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application and Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-n-part (CIP)  Prior application information  Continuation of Divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Customer Number or Bar Code Label  Insert Customer Number or Bar Code Label  Return Receipt Postcard (MPEP 503)  Correspondence address below  Name  David Harr Ison  Quantum Corr Recurring  Address  Sol Signed statement (IDS) Proc application No. (Attomey/Agent)  39. 88 b  Name (Print/Type)  Muhaul Zarra biran Registration No. (Attomey/Agent)  39. 88 b		Disclosure	10	
S. Oath or Declaration [Total Pages 2 ]  a. Newly executed (original or copy)  a. Newly executed (original or copy)  b. (Copy from a prior application (37 CFR 1.63 (d))	4. Drawing(s) (35 U	.S.C. 113) [Total Sheets 7]		
b. Copy from a prior application (37 CFR 1.63 (d)) b. (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1 63(d)(2) and 1 33(b) 6. Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Requision Indinuation On the Indinuation On the Indinuati	5. Oath or Declaration	[ Total Pages 2 ]	12 mornation bisolobure	
b. Copy from a prior application (37 CFR 1.63 (d))  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 163(d)2 and 133(b)  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:    Continuation   Divisional   Continuation=n-part (CIP)   of prior application No   / Group Art Unt    For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS    Quantum Correspondence address below    Name   David Harrison    Customer Number or Bar Code Label   Insert Customer No. or Alfach bar code label legis)    Name   David Harrison    Quantum Correspondence address below    Name   Print Print Print   Print Print Print    Name   Print Print Print   Print Print    Country   Quantum Correspondence address below    Name   Print Print Print    Name   Print Print   Print Print    Name   Print Print   Print    Name   Print   Print   Print    Name   Print   Print   Print    Name   Print   Print   Print   Print    Name   Print   Print   Print   Print   Print    Name   Print   Print   Print   Print   Print   Print    Name   Print   Pr	a. Newly execu	uted (original or copy)	13. Preliminary Amendment	
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1 63(d)(2) and 1 33(b)  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation  Prior application Data Sheet under 37 CFR 1.76:  Continuation  Examiner  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box Sb, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Reset Customer No. or Atlach Bar code label here)  Or Correspondence address below  Correspondence address below  Name  David Harrison  Customer Number or Bar Code Label  Registration No. (Attorney/Agent)  Name (Print/Type)  Muhaul Zarra biran  Registration No. (Attorney/Agent)  39, 88 b	Copy from a prior application (37 CFR 1.63 (d))  Return Receipt Postcard (MPEP 503)			
16.   Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent Other:    18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:   Continuation   Divisional   Continuation-in-part (CIP)   of prior application No   Prior application information   Examiner   Group Art Unit		ETION OF INVENTOR(S)  15. Certified Copy of Priority Document(s)		
1 63(d)(2) and 1 33(b)  6. Application Data Sheet. See 37 CFR 1.76  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Data Sheet under 37 CF			Monnyhliastian Bagyast under 25 H S.C. 122	
Application Data Sheet. See 37 CFR 1.76  17. Other:  18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of pnor application No				
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No I prior application No I prior application No I prior application on the prior application information of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  19. Correspondence address below  Name  David Harrison  Quantum Correspondence address below  Name  David Harrison  Quantum Correspondence address below  Name  Telephone (408)944446 Fax Qu4658/  Name (Print/Type)  Michael Zarrabian Registration No. (Attorney/Agent) 39, 88 b			or its equivalent	
or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No	, ppiloation bata			
Continuation Divisional Continuation-in-part (CIP) of prior application No Prior application Information Examiner Group Art Unit  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label (Insert Customer No. or Atlach bar code label here) or Correspondence address below  Name David Harrison  Quantum Corforation  Address Sol Sycambre Drive  City Milpitas State CA Zip Code 95035  Country USA Telephone (408)9444446 Fax 944658/  Name (Print/Type) Michael Zarrabiam Registration No. (Attorney/Agent) 39, 88 b			ply the requisite information below and in a preliminary amendment,	
Prior application information  Examiner Group Art Unit  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insert Customer No. or Attach bar code label here)  Or Correspondence address below  Name  David Harr 15 on  Quantum Correspondence address below  Address  Sol Sycamore Drive  City M; Lp; tas State CA Zip Code 95035  Country  ISA Telephone (408)944446 Fax 944658/  Name (Print/Type)  Muhael Zarrabian Registration No. (Attorney/Agent) 39, 886				
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  (Insen Customer No. or Alfach bar code label here)  Or Correspondence address below  Name  David Harrison  Quantum Corferation  Address  Sol Sycamore Drive  City Milpitas State CA Zip Code 95035  Country  USA Telephone (408)944446 Fax 944658/  Name (Print/Type)  Muhael Zarrahiam Registration No. (Attorney/Agent) 39, 886	Continuation	Divisional Continuation-in-part (CIP)	of prior application No	
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Customer Number or Bar Code Label  Name  David Harr Ison  Quantum Corforation  Address  Sol Sy Campre Drive  City  Milpitas  State  CA  Zip Code 95035  Country  Name (Print/Type)  Michael Zarrabian  Registration No. (Attorney/Agent)  39, 28 b	` '			
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS  19. CORRESPONDENCE ADDRESS  Customer Number or Bar Code Label  Name  David Harrison  Quantum Corformion  Address  Sol Sy Campre Drive  City  Milpitas  State  CA  Zip Code 95035  Country  Name (Print/Type)  Milhaul Zarrahian Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)  Registration No. (Attorney/Agent)	For CONTINUATION OR DIVISI	ONAL APPS only: The entire disclosure of t	he prior application, from which an oath or declaration is supplied under	
Customer Number or Bar Code Label  (Insert Customer No. or Attach bar code label here)  Name  David Harr Ison  Quantum Corr Brution  Address  Sol Sy Campre Drive  City Milpitas State CA Zip Code 95035  Country  Name (Print/Type)  Michael Zarrabian Registration No. (Attorney/Agent) 39, 886				
Customer Number or Bar Code Label  (Insert Customer No. or Attach bar code label here)  Name  David Harr Ison  Quantum Corr Brution  Address  Sol Sy Campre Drive  City Milpitas State CA Zip Code 95035  Country  Name (Print/Type)  Michael Zarrabian Registration No. (Attorney/Agent) 39, 886				
Name  David Harr Ison  Quantum Corforation  Address  Sol Sycamore Drive  City  Milpitas  State  CA  Zip Code 95035  Country  Name (Print/Type)  Michael Zarrabian  Registration No. (Attorney/Agent)  39, 886		and the second second		
Address  Sol SyCamore Drive  City  Milpitas  State  CA  Zip Code 95035  Country  USA  Telephone  (408)944466  Fax  G446581  Name (Print/Type)  Michael Zarrabian  Registration No. (Attorney/Agent)  39, 886	Customer Number or Bar Co	ode Label (Insert Customer No. or Atlach b	er code label here)	
Address  Sol Sycamore Drive  City  Nilpitas  State  CA  Zip Code 95035  Country  USA  Telephone  (408)944466  Fax  944658/  Name (Print/Type)  Michael Zarrabian  Registration No. (Attorney/Agent)  39, 886	Name	David	Harrison	
Address  Sol Sycamore Drive  City  Nilpitas  State  CA  Zip Code 95035  Country  USA  Telephone  (408)944466  Fax  944658/  Name (Print/Type)  Michael Zarrabian  Registration No. (Attorney/Agent)  39, 886		Quan	tum Corporation	
Country  USA  Telephone  (408)944466  Fax  9446581  Name (Print/Type)  Michael Zarrabian  Registration No. (Attorney/Agent)  39, 886	Address			
Name (Print/Type) Michael Zarrabian Registration No. (Attorney/Agent) 39, 886	City	Milpitas	State CA Zip Code 95035	
	Country	USA Te	lephone (408)944446 Fax 944658/	
	Name (Print/Type)	Michael Zarrabian	Registration No. (Attorney/Agent) 39, 28 b	
	Signature	har		

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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known		
Application Number		
Filing Date		
First Named Inventor	Franzel	
Examiner Name		
Group Art Unit		
Attorney Docket No.	801-1026-USI	

METHOD OF PAYMENT	FEE CALCULATION (continued)	
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES	. , .
Deposit Deposit	Large Small	
Account Number	Entity Entity	
Deposit	Fee Fee Fee Fee Fee Description	Fee Paid
Account Name	105 130 205 65 Surcharge - late filing fee or oath	,
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1 17	127 50 227 25 Surcharge - late provisional filing fee of cover sheet	
Applicant claims small entity status	139 130 139 130 Non-English specification	
See 37 CFR 1 27	147 2,520 147 2,520 For filing a request for ex parte reexam	ination
2. Payment Enclosed:  Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	
Large Entity Small Entity	116 390 216 195 Extension for reply within second mont	h
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 890 217 445 Extension for reply within third month	
404 740 004 005 11111 11	118 1,390 218 695 Extension for reply within fourth month	
106 320 206 160 Design filing fee	128 1,890 228 945 Extension for reply within fifth month	
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal	
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal	
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing	
SUPTOTAL (4) (9) 3 1 /s	138 1,510 138 1,510 Petition to institute a public use proceed	fing
SUBTOTAL (1) (\$) 746	140 110 240 55 Petition to revive - unavoidable	
2. EXTRA CLAIM FEES Fee from	141 1,240 241 620 Petition to revive - unintentional	
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)	
Total Claims 34 -20** = 14 x / B = 255	143 440 243 220 Design issue fee	
Claims	144 600 244 300 Plant issue fee	
Multiple Dependent =	122 130 122 130 Petitions to the Commissioner	
Jarge Entity Constl. Fuelth	123 50 123 50 Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure St	ımt
Code (\$) Code (\$)  103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	40
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1 129(a))	
104 270 204 135 Multiple dependent claim, if not paid	149 710 249 355 For each additional invention to be	
109 80 209 40 *** Reissue independent claims over original patent	examined (37 CFR § 1 129(b))	
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RC	E)
and over original patent	169 900 169 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 252	Other fee (specify)	
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3)	40

SUBMITTED BY				Complete (r	f applicable)
Name (Print/Type)	Michael Zarrabian	Registration No. (Attorney/Agent)	39,886	Telephone	(3/0)2266886
Signature	Im.			Date	10-23-01

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